



Forest Carbon Partnership Facility

Core Elements of Environmental and Social Risk Management for the FCPF

World Bank

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A central challenge of the Readiness Preparation process:

- REDD+ Readiness Preparation mainly consists of strategic planning leading to development of policies, programs, institutions and a set of actions to be implemented in the future



The agreed solution for the FCPF:

- The **Common Approach** to Environmental and Social Safeguards for Multiple Delivery Partners (DPs)
- The Common Approach provides the World Bank and other DPs with a common platform for risk management and quality assurance in the REDD+ Readiness Preparation process

How will the Common Approach work?

- DPs will be required to achieve “substantial equivalence” to the “material elements” of the World Bank’s social and environmental safeguard policies
- What are the “material elements”?
- What does “substantial equivalence” consist of?

Linking the Cancun and WB Safeguards

Cancun Safeguards	World Bank Safeguards
(a) Actions complement or are consistent with the objectives of national forest programmes and relevant international conventions and agreements	OP 4.01 on Environmental Assessment and OP 4.36 on Forests
(b) Transparent and effective national forest governance structures, taking into account national legislation and sovereignty	OP 4.36 on Forests
(c) Respect for the knowledge and rights of indigenous peoples and members of local communities, by taking into account relevant international obligations, national circumstances and laws, and noting that the United Nations General Assembly has adopted the United Nations Declaration on the Rights of Indigenous Peoples	OP 4.10 on Indigenous Peoples
(d) The full and effective participation of relevant stakeholders, in particular, indigenous peoples and local communities	OP 4.01 on Environmental Assessment, OP 4.04 on Natural Habitats, OP 4.36 on Forests, OP 4.10 on Indigenous Peoples, and OP 4.12 on Involuntary Resettlement
(e) Actions are consistent with the conservation of natural forests and biological diversity, ensuring that actions are not used for the conversion of natural forests, but are instead used to incentivize the protection and conservation of natural forests and their ecosystem services, and to enhance other social and environmental benefits	OP 4.04 on Natural Habitats and OP 4.36 on Forests
(f) Actions to address the risks of reversals	OP 4.01 on Environmental Assessment, OP 4.04 on Natural Habitats, and OP 4.36 on Forests
(g) Actions to reduce displacement of emissions	OP 4.01 on Environmental Assessment (Annex A)

Other sets of requirements

- In addition to the material elements, four sets of guidelines are at the core of the Common Approach:
 - FCPF Guidelines and generic Terms of Reference for Strategic Environmental and Social Assessment (SESA) and the associated Environmental and Social Management Framework (ESMF);
 - FCPF/UN-REDD Guidelines on Stakeholder Engagement in REDD+ Readiness;
 - FCPF Guidelines on the Disclosure of Information; and
 - FCPF Guidelines for Establishing Grievance and Redress Mechanisms at the Country Level

Introduction to the SESA and the ESMF

- SESA stands for “Strategic Environmental and Social Assessment”
- The ESMF stands for “Environmental and Social Management Framework”

SESA for REDD+ Readiness Preparation

- The strength of SESA for REDD+
- The foundation: A participatory and consultative process
- The strategic dimension of SESA

Key to Comprehensive Risk Management

SESA allows for incorporation of environmental and social considerations into the REDD Readiness Preparation process including safeguarding during **both** the preparation and the implementation of the REDD+ strategy, as follows:

- Enhancing the REDD+ strategy
- Environmental and social safeguarding

Environmental and Social Management Framework (ESMF)

- The ESMF serves as a framework for managing and mitigating the environmental and social risks and impacts for future investments (projects, activities, and/or policies and regulations) associated with implementing a country's REDD+ strategy
- Timing for the preparation of the ESMF



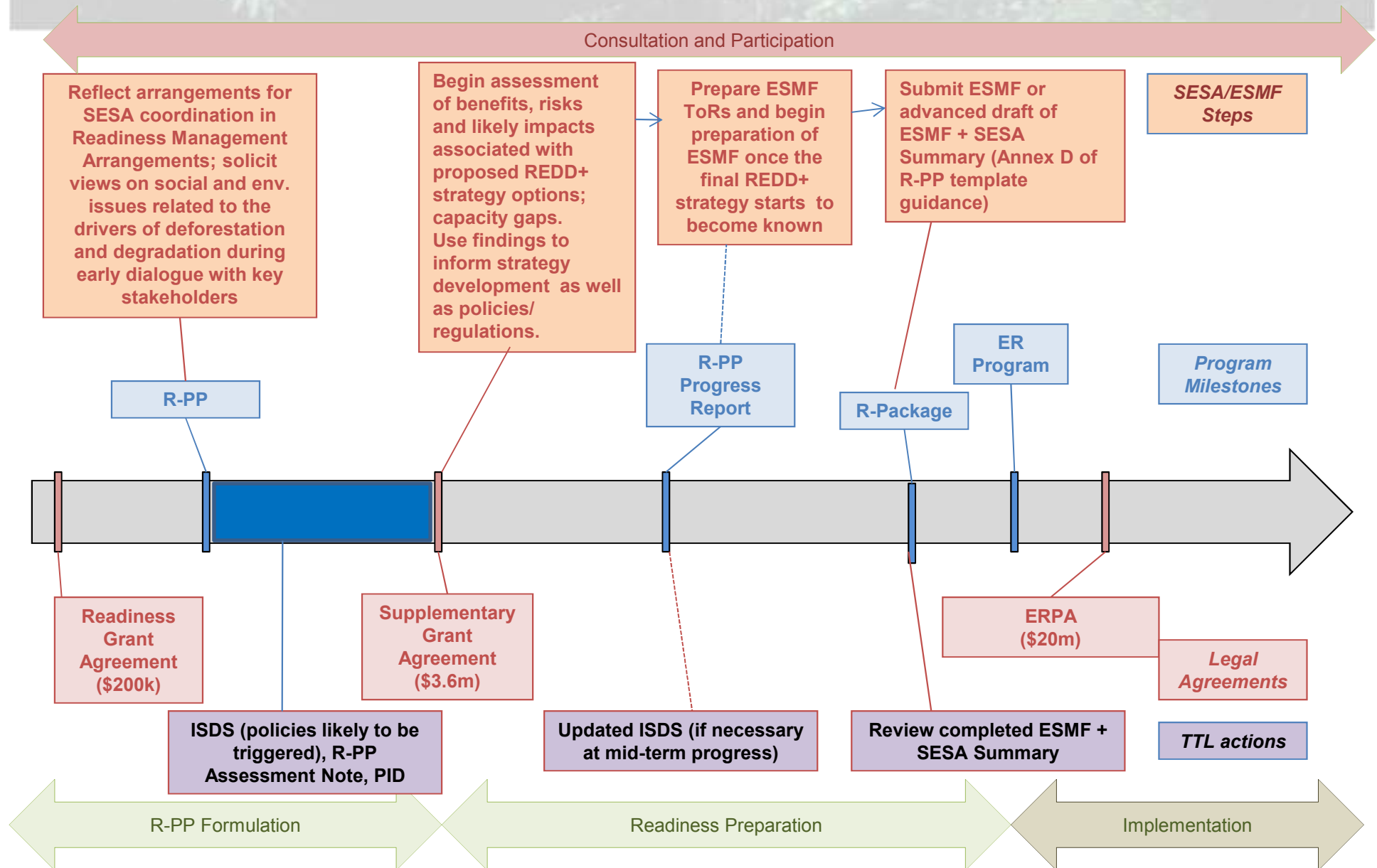
ESMF and Safeguards

- Coverage of the ESMF
- Scope of application of the ESMF

Outputs for the Readiness Package (R-Package)

- Summary of how SESA was conducted during R-PP Formulation and Readiness Preparation containing the following:
 - Institutional arrangements for coordinating SESA
 - WB safeguard policies triggered and the environmental and social studies or diagnostics carried out
 - Key environmental and social issues associated with the drivers of deforestation and causes of forest degradation
 - How the findings of studies and consultations fed into the selection and refinement of the REDD+ strategy options
 - Recommendations for addressing institutional, policy, legal/regulatory and capacity gaps for managing environmental and social priorities
 - Consultations, public participation, disclosure of information, and grievance redress on environmental and social issues
 - Results of the assessment of environmental and social risks and potential impacts of REDD+ strategy options, with an eventual focus on the final REDD+ strategy
- An ESMF/advanced draft ESMF

Basic FCPF Business Process & SESA/ESMF





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THANK YOU!